

CONTRACTOR: ACME Corporation  
 ADDRESS: 1234 University Way, San Diego CA 00000-0000  
 PHONE NO: (123) 456-7890 FEDERAL. ID NO.: 09-0999999  
 CONTRACT DATE: 12/1/2016 APPLICATION NO.: 2  
 UCSD CONTRACT NO: A4L-999 APPLICATION DATE: 1/31/2017  
 PLANT ACCOUNT NO: 999999 PERIOD TO: 1/31/2017  
 UCSD PROJ. NO.: 1010  
 PROJECT NAME: Intersection Modification at College Drive

\*Contractor name, Federal ID No. and Address should match the most recent W-9 on file with UCSD and will be reflected on check and where payment is mailed. \*Contract Date is from the Notice to Proceed.

CERTIFICATE OF PAYMENT

In accordance with the Contract Documents, based upon Project site checks and the data comprising the above-referenced Application for Payment, the Owner's Representative certifies to the Owner that, to the best knowledge, information, and belief of the Owner's Representative, the Work has progressed as indicated in the Application For Payment, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT REQUESTED ON APPLICATION FOR PAYMENT	<u>\$96,050.00</u>
CURRENT RETENTION %	<u>5.00</u> %
UNIVERSITY HELD RETENTION (5c)	<u>\$4,802.50</u>
TO BE DEPOSITED INTO ESCROW (5a or 5b)	<u>\$0.00</u>
AMOUNT CERTIFIED (balance due is auto calculated)	<u>\$91,247.50</u>
<u>100.00</u> % <b>PERCENTAGE OF WORK COMPLETED IN CALIFORNIA</b> (needed for tax purposes, typically 100%)	

Approvals:

\* CONTRACTOR'S AUTHORIZED REPRESENTATIVE (if applicable): \_\_\_\_\_ DATE: \_\_\_\_\_

For CPM use only:  
UCSD PROJECT MANAGER: \_\_\_\_\_ DATE: \_\_\_\_\_

UCSD PROJECT INSPECTOR: \_\_\_\_\_ DATE: \_\_\_\_\_

UCSD FISCAL MANAGEMENT: \_\_\_\_\_ DATE: \_\_\_\_\_

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment, and acceptance of payment are without prejudice to any rights of the Owner under the Contract.

## APPLICATION FOR PAYMENT

Application Number: 2 Period to: 1/31/2017

TO UNIVERSITY: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
 AND UNIVERSITY'S REPRESENTATIVE: CPM Project Manager's Name

FROM CONTRACTOR: ACME Corporation  
 ADDRESS: 1234 University Way, San Diego CA 00000-0000  
 PROJECT NAME: Intersection Modification at College Drive  
 UCSD PROJECT #: 1010  
 FACILITY: UNIVERSITY OF CALIFORNIA, SAN DIEGO  
 CONTRACT DATE: 12/1/2016  
 APPLICATION DATE: 1/31/2017

CHANGE ORDER SUMMARY – If attaching additional page, list total.

	Additions	Deductions
Change Orders approved in previous months.	Total: _____	_____
Fully executed Change Orders approved this month:		
Number: _____ Date Approved: _____	Additions	Deductions
<u>1</u> _____ <u>10/24/2016</u> _____	\$0.00	_____
<u>2</u> _____ <u>2/13/2017</u> _____	\$7,200.00	_____
_____	_____	_____
_____	_____	_____
Current Month's Total:	\$7,200.00	\$0.00

Application is made for payment under the Contract as shown below and in Schedule 1 attached hereto:

1. ORIGINAL CONTRACT SUM (Refer to Notice to Proceed)	\$195,785.00
2. NET CHANGE BY CHANGE ORDERS	\$7,200.00
3. CONTRACT SUM TO DATE (auto-calculated, Line 1 + Line 2)	\$202,985.00
4. TOTAL AMOUNT COMPLETED TO DATE (Column E on Schedule 1)	\$201,835.00
5. RETENTION OF COMPLETED WORK TO DATE (Column H on Schedule 1)	\$10,091.75
a. This Pay App Value of Securities To Be Deposited in Escrow _____	
b. This Pay App Value of Retention Deposited in Escrow _____	
c. This Pay App Value of Retention Held by University	\$4,802.50
Current Retention Value (a + b + c)	\$4,802.50
6. TOTAL EARNED TO DATE LESS RETENTION (auto-calculated, Line 4 less Line 5)	\$191,743.25
7. TOTAL AMOUNT PREVIOUSLY PAID (Line 6 of previous pay app)	\$100,495.75
8. CURRENT PAYMENT DUE (auto-calculated, Line 6 less Line 7)	\$91,247.50
9. BALANCE TO FINISH, PLUS RETENTION (auto-calculated, Line 3 less Line 6)	\$11,241.75

\*Pursuant to Article 9.2.2 of the General Conditions.

The undersigned Contractor hereby represents and warrants to University that all Work, for which Certificates For Payment have previously been issued and payment received from University, is free and clear of all claims, stop notices, security interests, and encumbrances in favor of Contractor, any Subcontractor, and any other persons or firms entitled to make claims by reason of having provided labor, materials, or equipment related to the Work.

The following Schedules are attached and incorporated herein, and made a part of this Application For Payment:

- Schedule 1      Cost Breakdown Schedule
- Schedule 2      Certification of Current Market Value of Securities in Escrow in Lieu of Retention
- Schedule 3      List of Subcontractors (if required)
- Schedule 4      Declaration of Releases of Claims (if required)

ACME Corporation  
\_\_\_\_\_  
(Contractor)

By: John Doe  
\_\_\_\_\_  
(Name)

Project Manager  
\_\_\_\_\_  
(Title)

Please complete entire 7-page form before e-signing the declaration below.

I, John Doe, hereby declare that I am the Project Manager of the Contractor submitting this Application For Payment; that I am duly authorized to execute and deliver this Application For Payment on behalf of Contractor; and that all information set forth in this Application For Payment and all Schedules attached hereto are true, accurate, and complete as of its date.

I declare, under penalty of perjury, that the foregoing is true and correct and that this declaration was subscribed at  
1234 University Way, San Diego, State of California on 1/31/2017  
(Street Address) (City)

*John Doe*  
\_\_\_\_\_  
(Signature – after converted to PDF)

John Doe  
\_\_\_\_\_  
(Print Name)



PROJECT NAME: Intersection Modification at College Drive  
CONTRACTOR: ACME Corporation  
UCSD PROJECT #: 1010  
APPLICATION #: 2

SCHEDULE 2  
TO  
APPLICATION FOR PAYMENT

CERTIFICATION OF CURRENT MARKET VALUE  
OF SECURITIES IN ESCROW IN LIEU OF RETENTION  
(if applicable)

As of 1/1/2017, (not earlier than 5 days prior to the date of the Application For Payment of Which this certification is a part), the aggregate market value of securities on deposit in Escrow

Account No. 9999-999999 with ABC Escrow Company  
(Escrow Agent)

Is Eleven Thousand Six Hundred and Ninety Eight Dollars ( \$11,698.00 ).

ABC Escrow Company  
(Escrow Agent)

ACME Corporation  
(Contractor)

By: Jane Doe  
(Name)

By: John Doe  
(Name)

Escrow Officer  
(Title)

Project Manager  
(Title)

Date: 1/7/2017

Date: 1/7/2017

NOTE: Notary acknowledgement for Contractor and Escrow Agent must be attached.

PROJECT NAME: Intersection Modification at College Drive

CONTRACTOR: ACME Corporation

UCSD PROJECT #: 1010

APPLICATION #: 2

SCHEDULE 3  
TO  
APPLICATION FOR PAYMENT

LIST OF SUBCONTRACTORS

Subcontractors listed below are all Subcontractors furnishing labor, services, or materials for the period referred to in the Application For Payment referenced above, of which this Schedule 3 is a part:  
(attach additional pages if needed)

Name of Subcontractor	Subcontracted Work Activity	Date Work Activity Completed
Welders USA	Welding	1/14/2017

ACME Corporation  
(Contractor)

By: John Doe  
(Name)

Project Manager  
(Title)

Date: 1/17/2017

PROJECT NAME: Intersection Modification at College Drive  
CONTRACTOR: ACME Corporation  
UCSD PROJECT #: 1010  
APPLICATION #: 2

SCHEDULE 4  
TO  
APPLICATION FOR PAYMENT

DECLARATION OF RELEASE OF CLAIMS

Contractor hereby certifies that attached hereto are releases and waivers of claims and stop notices from all Subcontractors furnishing labor, services, or materials covered by the Certificate For Payment dated 1/31/2017, except those listed below:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
ACME Corporation  
(Contractor)  
By: John Doe  
(Name)  
Project Manager  
(Title)  
Date: 1/31/2017