

**Project Approval Thresholds for Non-State Capital Improvement Projects <sup>(1)</sup>**  
**(Project Approval = Scope, Budget, Funding, and Schedule)**

Project Classification	Project Budget	Approval Level <sup>(2, 3)</sup>	Required Documentation	
			Documents	Prepared by:
Minors	\$35,001 - \$750,000	Campus Architect	Minor Capital Improvement Form	Department works with Project Manager. Completed form is submitted to Capital Planning for processing.
			Environmental Impact Classification (EIC)	Environmental Planner
Mini-Majors <sup>(4)</sup>	\$750,001 - \$10,000,000	Chancellor	Action Item	Capital Planning
			Capital Improvement Budget (CIB)	Project Manager
			Project Schedule	Project Manager
			Schematic Drawing	Project Manager
			Environmental Impact Classification (EIC)	Environmental Planner
Delegated-Authority Approval Process for Major Capital Projects <sup>(5, 6)</sup>	\$10,000,001 - \$70,000,000	Chancellor (after endorsement from UCOP and OGC)	BCA & Project Planning Guide (including CIB, project schedule, project site exhibits, & EIC)	Campus Planners & Project Manager
			Delegated-Authority Project: Certification Checklist	
Regental <sup>(5)</sup>	\$70,000,001 +	Board of Regents (Finance & Capital Strategies Committee)	Action Item	Capital Planning
			Project Planning Guide (including CIB, project schedule, project site exhibits, and EIC)	Campus Planners & Project Manager

<sup>(1)</sup> State-funded projects require project approval by The Regents.

<sup>(2)</sup> Subsequent budget increases or significant scope changes require supplemental approval; such approval varies based on the specific circumstances.

<sup>(3)</sup> Design and Environmental approvals have similar budget thresholds for approval, although State-funded projects could go through the Delegated Campus Approval process if other criteria are met.

<sup>(4)</sup> If external financing will be used to fund projects with budgets less than \$10 million, Chancellor would approve the project budget after the UCOP EVP/CFO approves the external financing.

<sup>(5)</sup> A project is eligible for approval through the Delegated-Authority process only if: the project is consistent with the Long Range Development Plan and with the Physical Design Framework; project was included in the ten-year Capital Financial Plan accepted by the Regents. Path for project approval will be evaluated with each project, as part of defining the initial project schedule. Consult with Campus Planning; also refer to UCOP "Delegated Process User Guide" dated June 2014 for more detailed information regarding this process.

<sup>(6)</sup> A Business Case Analysis (BCA) is required for projects with budgets greater than \$10 million and for all parking structure projects. Campuses are urged to prepare the BCA very early in the project decision process and to consult with UCOP on direction and detail.