

Project Approval Thresholds for Non-State Capital Improvement Projects ⁽¹⁾
(Project Approval = Scope, Budget, Funding, and Schedule)

Project Classification	Project Budget	Approval Authority ^(2, 3)	Requirements	
			Documents	Prepared by:
Minors	\$35,001 - \$1,000,000	Campus Architect or AVC, CPM	Minor Capital Improvement Form	Department works with Project Manager. Completed form is submitted to Capital Planning for processing.
			Environmental Impact Classification (EIC)	Environmental Planner
Mini-Majors ⁽⁴⁾	\$1,000,001 - \$10,000,000	Chancellor	Action Item	Capital Planning
			Capital Improvement Budget (CIB)	Project Manager
			Project Schedule	Project Manager
			Schematic Drawing	Project Manager
			Environmental Impact Classification (EIC)	Environmental Planner
Certification Checklist (formerly Delegated-Authority) Approval Process for Major Capital Projects ⁽⁵⁾	\$10,000,001 - \$70,000,000	Chancellor (after endorsement from UCOP and OGC)	Action Item	Campus Planners & Project Manager
			Capital Improvement Budget (CIB)	
			Project Schedule	
			Schematic Drawing	
			Environmental Impact Classification (EIC)	
Delegated-Authority Project: Certification Checklist				
Regental	\$70,000,001 +	Board of Regents (Finance & Capital Strategies Committee)	Action Item	Capital Planning
			CIB, project schedule, project site exhibits, and EIC, comp table, program area summary	Campus Planners & Project Manager

⁽¹⁾ State-funded projects require project approval by The Regents and have different approval requirements than those described above. Consult with Capital Planning for state funded projects.

⁽²⁾ UCOP and the Regents have specific policies regarding approval authority for Budget Amendments. Consult with Capital Planning.

⁽³⁾ Design and Environmental approvals have similar budget thresholds for approval.

⁽⁴⁾ If external financing will be used to fund projects with budgets less than \$10 million, Chancellor would approve the project budget after the UCOP EVP/CFO approves the external financing.

⁽⁵⁾ A project is eligible for approval through the Delegated-Authority process ONLY IF: the project was included on the 10-year Capital Financial Plan (CFP) accepted by the Regents, and is deemed consistent with 1) the project description submitted with the CFP, 2) the Physical Design Framework (PhDF) and 3) Long Range Development Plan (LRDP). If a project is not eligible for approval through the Delegated-Authority process, consult with Capital Planning to determine appropriate approval process. Path for project approval will be evaluated with each project, as part of defining the initial project schedule. Refer to UCOP "Delegated Process User Guide" dated June 2014 for more detailed information regarding this process.